

# **Open Access in Inter-State Transmission**

## **Procedure for Reservation of Transmission Capacity to Short-Term Open Access Customers**

**(finalised as per CERC Letter Dated 22<sup>nd</sup> March 2005)**

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(POWER GRID CORPORATION OF INDIA LTD.)**

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to Short-Term Open Access Customers**

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**CENTRAL TRANSMISSION UTILITY**  
**(POWER GRID CORPORATION OF INDIA LIMITED)**

**Procedure for Reservation of Transmission Capacity**  
**to Short-Term Open Access Customers**

**(Revision No. : 1 Dated: 23.03.2005)**

**1.0 OUTLINE**

- 1.1** This procedure is being issued in compliance of the provisions under Para 6(ix) read with Para 36 of the “Central Electricity Regulatory Commission (Open Access in Inter-State Transmission) (First Amendment) Regulations 2005”, dated 21.02.2005 read together with amended Regulations dated 30.01.2004.
- 1.2** The procedures shall come into force w.e.f. 01.04.2005.
- 1.3** The detailed procedures have been prepared as per criteria for allotment and reservation of transmission capacity as per Para 6 of the regulation.

**2.0 PROCEDURE FOR “ADVANCE RESERVATION” OF TRANSMISSION CAPACITY**

**2.1 SUBMISSION OF APPLICATIONS**

- 2.1.1** All applications intended to be covered under provisions of Para 6(iv) and 6(vi) of the amended regulations for advance reservation, shall be submitted separately for each transaction to the nodal RLDC (of the point of drawal) as per the enclosed format. The application shall be submitted preferably in a cover marked “Application for Short-Term Open Access - Advance Reservation”.
- 2.1.2** The applications under this category shall be processed only if such applications are received up to 20:00 Hrs. of 19<sup>th</sup> day of the month and the short-term access is commencing from the next month and is not ending beyond the fourth month, taking the month in which application is made as first month.
- 2.1.3** All the applications received up to 20:00 Hrs of 19<sup>th</sup> day of the month shall be considered together on the twentieth day of the month for the advance reservation.
- 2.1.4** A copy of the application shall be endorsed by the applicant to the following:
- i) Each RLDC involved in the transaction.
  - ii) Each SLDC involved in the transaction.
  - iii) Executive Director (SO), POWERGRID, B-9, Qutab Institutional Area, Katwaria Sarai, New Delhi 110016
- 2.1.5** The following shall be enclosed along with the application:
- i) Copies of the agreements/MOUs entered between the buyer / seller / trader.
  - ii) Non-refundable application fee of Rs. 5000/- payable to the Nodal RLDC.

## **2.2 PROCESSING/APPROVAL OF APPLICATIONS**

- 2.2.1** Processing of application for short term open access reservation shall be done as per clause 6 of Amended Regulations.
- 2.2.2** The various dates as provided in clause 6 of Amended Regulations shall be considered for advance reservation. In case the date provided in the time schedule is a “National Holiday”, the next date shall be considered.
- 2.2.3**
- i. While processing the applications, the Nodal RLDC shall seek the consent of each of the SLDCs / RLDCs involved in the transaction by the 20<sup>th</sup> day of the month.
  - ii. The concerned SLDCs / RLDCs shall give their consent latest by 22<sup>nd</sup> day of the month (15:00Hr.). In case of denial of access, the SLDC/RLDC concerned shall furnish reasons for the same.
  - iii. SLDCs shall give their application wise consent to nodal RLDC through their respective RLDCs. In case of constraint in state system, concerned SLDCs shall decide about reduction of the MW quantum.
  - iv. RLDCs shall consider the applications received by them, as nodal RLDC, before giving consent / indicating constraint, to other RLDCs.
- 2.2.4** In case of perceived congestion in transmission corridor, nodal RLDC by 23<sup>rd</sup> day of the month will inform the concerned applicant(s) as per clause (vi)( c) of Regulation 6. By 25<sup>th</sup> day of the month latest by 11:00 Hrs., the applicants must inform the nodal RLDC, the reduced requirement of transmission capacity during the period of congestion or opt for access only for the duration when no congestion is anticipated. In case of non receipt of revised information in time, it is presumed that the applicant is no longer interested in revising it and RLDC will process the application accordingly.
- 2.2.5** In case the nodal RLDC does not anticipate congestion on any of the transmission corridor(s) involved, the applicant(s) shall be granted short-term access for the quantum and duration sought by 25<sup>th</sup> day of the month.
- 2.2.6** In case the nodal RLDC still anticipates congestion in one or more of the transmission corridor, it shall invite electronic bids for reservation of transmission capacity on 26<sup>th</sup> day of the month and the successful applicants shall be granted short term open access by 27<sup>th</sup> day of the month.
- 2.2.7** The Nodal RLDC shall issue transaction-wise approval (as per enclosed format) from:
- A.** The point of injection of a seller to the injecting State’s periphery (control area boundary) as confirmed by the concerned SLDC, and
  - B.** From the periphery (control area boundary) of injecting State up to the periphery (control area boundary) of the drawee State as confirmed by the concerned RLDCs.

C. From the periphery (control area boundary) of the drawee State to the point of drawal of buyer as confirmed by the concerned SLDC.

2.2.8 The Applicant shall pay the advance charges as per the Schedule of Payment issued by the Nodal RLDC within 3 working days from the date of grant of access by nodal RLDC.

2.2.9 In case the applicant wants to surrender the reserved capacity before commencement of transaction, it should request for surrender alongwith payment of 7 days short-term open access charges or the period of access granted whichever is shorter, to nodal RLDC within 3 working days from the date of grant of access.

2.2.10 A non-receipt or a delayed receipt of the payment shall be considered as a "Payment Default" by the applicant.

### 2.3 RE-ROUTING IN CASE OF CONGESTION WHILE PROCESSING APPLICATION

2.3.1 In case of congestion or constraint in the direct inter-regional link, concerned Nodal RLDC shall advise the affected short-term customer(s) about the feasibility of routing the transactions through other regions. The customer, while making application, may give standing instructions to the nodal RLDC about considering the alternate routes in case of congestion (at the time of processing of application) or constraint (during actual operation) in the direct inter-regional link. In such cases re-routing of these transactions shall be made by RLDC if considered feasible without awaiting consent. In case the advance consent is not available and customer subsequently agrees to re-route the transaction on receipt of intimation from RLDC regarding congestion in the proposed route, they have to send a formal consent mentioning the quantum, duration and the route.

### 2.4 SCHEDULING

2.4.1 The customer's coordinator shall coordinate with each of the other Utilities involved and furnish the transaction details to each of the SLDCs involved, on a daily basis, so as to enable the SLDCs/RLDCs to incorporate the transaction in the day-ahead schedules and revisions thereof. In case mismatches are found in the transaction details, it will not be possible for the RLDCs to schedule the same and the customer shall be intimated accordingly.

2.4.2 SLDCs shall advise the RLDCs, by 15:00 hours each day regarding their proposed Open Access transactions, transaction-wise for the next day. Modification / changes, if any, shall be conveyed latest by 22:00 hrs of the same day.

2.4.3 The ramp-up/ramp-down, if required, in the daily schedules (issued by the RLDC) on account of such transactions, shall commence at the time of commencement of the transaction and shall end at the time of termination of the transaction.

**2.4.4** The 'Daily Schedules' finalized / issued by the RLDCs shall incorporate the inter-State Open Access transactions in the drawal schedules of the States and/or the injection schedules of the concerned generators (ISGS). The concerned SLDCs shall incorporate such inter-State transactions to / from the point of injection / drawal in their respective Daily schedules issued by the SLDC.

**2.4.5** The customer shall co-ordinate with the Injecting Utility and Drawee Utility in case of revision and send a consolidated request to the all concerned RLDCs/SLDCs. SLDC shall intimate the concerned RLDC for taking up the revision. RLDC shall entertain the request for revision only on bonafide ground and the same shall be implemented from the sixth time block considering the block in which request for revision is received as first time block. The decision of RLDC regarding acceptance of the request for revision shall be final and binding.

**2.5** **NON-UTILISATION OF RESERVED TRANSMISSION CAPACITY BY SHORT-TERM OPEN ACCESS CUSTOMER**

**2.5.1** In accordance with the provisions contained in Para 14(i) of amended Regulations, a short term customer, unable to utilize full or substantial part of the reserved transmission capacity shall intimate the same to the nodal RLDC mentioning the approval number vide which the approval had been accorded. The nodal RLDC shall issue a revised approval to the customer to this effect. The surrender of reserved transmission capacity shall be effective from the next day of the request received.

**2.5.2** In accordance with the provisions contained in Para 14(ii) of amended Regulations, the RLDC may on its own reduce or cancel the reserved transmission capacity of a short term customer when such a short term customer frequently under-utilizes the reserved transmission capacity. RLDC decision on frequent under-utilisation depending upon grid conditions shall be binding on all. Before reducing or canceling the reserved transmission capacity, RLDC will give due notice of one day to such short-term customers and on expiry of the notice period the reserved transmission capacity will be reduced / cancelled.

**2.5.3** In accordance with the provisions contained in Para 14(iii) of amended Regulations, the short term customer who has surrendered the reserved transmission capacity under clause 2.5.1 above or whose reserved transmission capacity has been reduced or cancelled under clause 2.5.2 above, shall bear the transmission charges and operating charges based on original reservation of transmission capacity for seven days (Short Term charges for seven days from date of surrender/cancellation/reduction shall be considered) or the period of reservation surrendered or reduced or cancelled as the case may be, whichever period is shorter.

**2.5.4** The transmission capacity becoming available as a result of surrender by short term customer under clause 2.5.1 above or as a result of reduction or cancellation of the reserved transmission capacity by RLDC under clause

2.5.2 above shall be reserved for any other short term customer in accordance with relevant provisions of regulations on open access.

## **2.6 COMMERCIAL CONDITIONS**

In terms of the provisions under Para 24 of the amended Regulations, the following commercial conditions shall apply

### **2.6.1 TERMS OF PAYMENT**

- i. All payments associated with short term Open Access shall be made by the Applicant/customer to the Nodal RLDC.
- ii. Payments shall be made for each approval separately.
- iii. The Applicant shall make the following payment in advance to the Nodal RLDC within three working days from the date of grant of access.

#### **a) Transmission charges (as per amended Regulation 13A & 16)**

Full amount for the next month or period of access, whichever is less, in respect of the following:

1. Each STU/SEB/transmission licensee involved
2. Each Regional / inter-regional system involved

#### **b) Operating charges (as per amended Regulation 17)**

Full amount for the next month or period of access, whichever is less, in respect of the following:

1. Each SLDC involved
  2. Each RLDC involved
- iv. In case transmission system owned by STU is internalized, the transmission charges for the STU/ SEB under regulation 16 and operating charges for SLDCs under clause(i) of regulation 17 need not be paid by the customer. A certificate in this regard from the concerned STU(s) and SLDCs is to be enclosed along with the application.
  - v. In case of transaction for a period more than a month, the customer shall make subsequent payment to the Nodal RLDC covering the transmission charges, operating charges as indicated in the “schedule of monthly payments” enclosed with the approval (issued by the Nodal RLDC), latest by 11:00 hrs of the working day, before beginning of the next month. No separate bills shall be issued by the Nodal RLDC for the monthly payments.
  - vi. All payments shall be remitted only by Bank draft/cheque drawn in favour of “\_\_\_\_\_RLDC Short Term Open Access Account” payable at par at the location of the Nodal RLDC or by electronic transfer. No outstation cheques will be accepted.
  - vii. In case an applicant relinquishes / abandons an approval issued, the payment of seven days of transmission charges and operating charges or the period of access granted whichever is shorter shall be payable by the customer.

## **2.6.2 PAYMENT SECURITY MECHANISM**

In terms of the provisions under Regulation 24 the “payment security mechanism” specified hereunder is intended to ensure recovery of the applicable payments in case of a payment default and not as a mechanism for regular payments. The Letter of Credit provides security against payment defaults in respect of the “monthly payment” to be normally made through bank draft / electronic transfer / cheque.

- i) When the duration of access granted exceeds one month the customer shall open a monthly irrevocable revolving Letter of Credit (LC), corresponding to the short-term open access charges for 31 days or the duration beyond one month, whichever is shorter, as per Regulation (13A, 16 & 17), in favour of the Nodal RLDC at the Nodal RLDC’s location
- ii) The LC shall be opened in a Bank mutually agreed between the customer and the Nodal RLDC.
- iii) The LC shall be opened within seven (7) days of commencement of open access transaction.
- iv) The LC shall be valid for the entire duration of the transaction.
- v) The LC shall be negotiated by the Nodal RLDC on the basis of the “Schedule of the Payment” enclosed with the approval as and when the customer fails to remit the monthly payment in full by its due date.
- vi) In case the LC is not timely negotiated, the responsibility lies with the customer and the same shall be treated as “payment default”.
- vii) Terms of LC shall be as per the format enclosed.

## **2.7 BIDDING PROCEDURE**

- 2.7.1** In terms of the provisions of Para 6 of the Regulations on Open Access whenever the capacity sought to be reserved by the Applicants, for a particular day or part thereof, is more than the surplus transfer capacity available, the nodal RLDC shall invite electronic bids from such applicants.
- 2.7.2** Bidding process and the reservation of capacity to the bidders shall be as per provision of para 13A of the amended Regulations.
- 2.7.3** Bids shall be invited for only such period during which congestion is expected to occur and only for the inter-regional link/ regional system which is expected to be causing congestion.
- 2.7.4** The decision of the concerned RLDCs, involved in the transaction, in respect of an expected congestion shall be final and binding.
- 2.7.5** The Applicants shall submit their ‘bid’ on-line on the web-site of the designated RLDC.
- 2.7.6** Only the Applicants through their registered users shall be entitled to submit a bid.



- 2.7.7 The bids shall be accepted up to the “bid closing time” indicated in the bidding invitation format.
- 2.7.8 Modification / amendment to a bid, once submitted, including submission of a second or subsequent bid by an Applicant shall not be accepted.
- 2.7.9 Each of the Utilities intending to participate in bidding shall be issued a system generated “User id” and initial “pass-word” by RLDC to enable them to submit a on-line bid. Upon receipt of the User Id and initial password, the user is advised to immediately change the password. It shall be the responsibility of such Utility to maintain its confidentiality/security and to prevent its misuse.
- 2.7.10 Any customer intending to participate in bidding for short-term Open Access may obtain the “User Id” and initial pass-word in advance from the RLDC.
- 2.7.11 Bids sent through fax/post shall not be accepted.
- 2.7.12 The Bid price should be quoted in terms of percentage (%) of floor rate subject to a minimum as 100% and a ceiling price of 5 times the floor price in case of intra-regional system and 2.5 times in case of inter regional system as per clause (iii) of Regulation 13A. The floor rate for the bidding shall be equal to ST\_rate applicable for the day even though the congested period may be only for few hours.
- 2.7.13 In case any of the Applicants choose not to submit a bid, his application for open access shall be treated as cancelled and will not be processed. The transmission capacity becoming available as a result of non-participation in bidding shall be reserved for any other short-term customer in accordance with relevant provisions of regulations on Open Access.
- 2.7.14 No requests for extension of the time/date for submission of the bids shall be entertained by the nodal RLDC.
- 2.7.15 The RLDCs reserve the right to reject an in-complete/vague bid and/or not found to be in conformity with these procedures/regulations on Open Access.
- 2.7.16 The open access charges shall not be applicable for the inter state transmission system in North Eastern Region (NER) as per clause (vii) of Regulations 16. The allotment shall be made on prorata basis in case of congestion in the inter state transmission system of NER.

### **3.0 PROCEDURE FOR RESERVATION OF TRANSMISSION CAPACITY FOR SHORT TERM OPEN ACCESS FOR “FIRST-COME-FIRST-SERVED” APPLICANTS**

#### **3.1 SUBMISSION OF APPLICATIONS**

- 3.1.1 An application for short term open access in a prescribed format (enclosed) shall be submitted by the open access customer for each transaction to the

nodal RLDC. The application shall be submitted preferably in a cover marked "Application for short term open access - First-come-first-served category".

3.1.2 A copy of the application shall be endorsed by the applicant to the following:

- i) Each RLDC involved in the transaction.
- ii) Each SLDC involved in the transaction.
- iii) Executive Director (SO), POWERGRID, B-9, Qutab Institutional Area, Katwaria Sarai, New Delhi 110016

3.1.3 Applications received under the following category shall be treated as first-come-first-served applications as per clause 6(v) of the regulations.

- i. Applications received in a month for open access commencing and terminating in the month in which application is made, excluding application for the day ahead/ same day transactions.
- ii. Applications received after 19<sup>th</sup> day of the month for open access commencing and terminating in the following month. All such applications received between 20<sup>th</sup> to 26<sup>th</sup> of the month shall be processed only after 26<sup>th</sup> on first come first served basis.
- iii. Applications received under first come first served category for open access shall be considered only when transactions are commencing and terminating in the same calendar month.

3.1.4 The following shall be enclosed along with the application:

- i) Copies of the agreements/MOUs entered between the buyer / seller / trader.
- ii) Non-refundable application fee @Rs. 5000/- payable to the Nodal RLDC.

### **3.2 PROCESSING / APPROVAL OF APPLICATIONS**

3.2.1 Applications received by the nodal RLDC between 10:00 hrs of a day and 10:00 hrs of the following day shall be treated as to have been received together for processing and shall have same priority. In case of congestion, the reservation shall be granted on pro rata basis.

3.2.2 While processing the applications, the Nodal RLDC shall seek the consent of each of the SLDCs/RLDCs involved in the transaction in a prescribed format. Time taken by SLDC / RLDC to give acceptance would be as under

Transaction up to one week	-	1 day
Transaction exceeding one week	-	2 days

In case of denial of access, the SLDC/RLDC concerned shall furnish reasons for the same.

3.2.3 SLDCs shall give their application wise consent to nodal RLDC through their respective RLDCs. In case of constraint in state system, concerned SLDCs to decide about reduction of the MW quantum.

3.2.4 RLDCs shall consider the applications received by them, as nodal RLDC, before giving consent / indicating constraint to other RLDCs.

3.2.5 The Nodal RLDC shall issue transaction-wise approval from

- A. The point of injection of a seller to the injecting State's periphery (control area boundary) as confirmed by the concerned SLDC, and
  - B. From the periphery (control area boundary) of injecting State up to the periphery (control area boundary) of the drawee State as confirmed by the concerned RLDCs.
  - C. From the periphery (control area boundary) of the drawee State to the point of drawal of buyer as confirmed by the concerned SLDC.
- 3.2.6 The Applicant shall pay the advance charges as per the Schedule of Payment issued by the Nodal RLDC within 3 working days from the date of grant of access by the nodal RLDC.
- 3.2.7 In case the applicant wants to surrender the reserved capacity before commencement of transaction then it should request for surrender along with payment of 7 days short-term open access charges or the period of access granted whichever is shorter, to nodal RLDC within 3 working days from the date of grant of access.
- 3.2.8 A non-receipt or a delayed receipt of the payment shall be considered as a "Payment Default" by the applicant.

### **3.3 RE-ROUTING IN CASE OF CONGESTION WHILE PROCESSING APPLICATION**

In case of congestion or constraint in the direct inter-regional link, concerned Nodal RLDC shall advise the affected short-term customers about the feasibility of routing the transactions through other regions. The customer, while making application, may give standing instructions to the nodal RLDC about considering the alternate route(s) in case of congestion (at the time of processing of application) or constraint (during actual operation) in the direct inter-regional link. In such cases re-routing of these transactions shall be made by RLDC if considered feasible without awaiting consent. In case the advance consent is not available and customer subsequently agrees to re-route the transaction on receipt of intimation from RLDC regarding congestion in the proposed route, they have to send a formal consent mentioning the quantum, duration and the route.

### **3.4 SCHEDULING**

- 3.4.1 The customer's coordinator, shall coordinate with each of the other Utilities involved, and furnish the transaction details to each of the SLDCs involved, on a daily basis, so as to enable the SLDCs/RLDCs to incorporate the transaction in the day-ahead schedules and revisions thereof. In case mismatches are found in the transaction details, it will not be possible for the RLDCs to schedule the same and the customer shall be intimated accordingly.
- 3.4.2 SLDCs shall advise the RLDCs, by 15:00 hours each day regarding their proposed Open Access transactions, transaction-wise for the next day. Modification / changes, if any, shall be conveyed latest by 22:00 hrs of the same day.
- 3.4.3 The ramp-up/ramp-down, if required, in the daily schedules (issued by the RLDC) on account of such transactions, shall commence at the time of commencement of the transaction and shall end at the time of termination of the transaction.

- 3.4.4 The 'Daily Schedules' finalized / issued by the RLDCs shall incorporate the inter-State Open Access transactions in the drawal schedules of the States and/or the injection schedules of the concerned generators (ISGS). The concerned SLDCs shall incorporate such inter-State transactions to / from the point of injection / drawal in their respective Daily schedules issued by the SLDC.
- 3.4.5 The customer shall co-ordinate with the Injecting Utility and Drawee Utility in case of revision and send a consolidated request to the all concerned RLDCs/SLDCs. SLDC shall intimate the concerned RLDC for taking up the revision. RLDC shall entertain the request for revision only on bonafide ground and the same shall be implemented from the sixth time block considering the block in which request for revision is received as first time block. The decision of RLDC regarding acceptance of the request for revision shall be final and binding.

### **3.5 COMMERCIAL CONDITIONS**

In terms of the provisions under para 24 of the amended Regulations, the following commercial conditions shall apply

#### **3.5.1 TERMS OF PAYMENT**

- i. All payments associated with short term Open Access shall be made by the Applicant/customer to the Nodal RLDC.
- ii. Payments shall be made for each approval separately.
- iii. The Applicant shall make the following payment in advance to the Nodal RLDC within three working days from the date of grant of access.
  - A. **Transmission charges (as per amended regulation 13A & 16)**

Full amount for the period of access, in respect of the following:

    - i. Each STU/SEB/transmission licensee involved
    - ii. Each Regional / inter-regional system involved
  - B. **Operating charges (as per amended regulation 17)**

Full amount for the period of access, in respect of the following:

    - i. Each SLDC involved
    - ii. Each RLDC involved
- iv. In case transmission system owned by STU is internalized, the transmission charges for the STU / SEB under regulation 16 and operating charges for SLDCs under clause(i) of regulation 17 need not be paid by the customer. A certificate in this regard from the concerned STU(s) / SLDCs is to be enclosed along with the payment.
- v. All payments shall be remitted only by Bank draft/cheque drawn in favour of "\_\_\_\_\_RLDC Short Term Open Access Account" payable at par at the location of the Nodal RLDC or by electronic transfer. No outstation cheques will be accepted.
- vi. In case an applicant relinquishes / abandons an approval issued, the payment of seven days of transmission charges and operating charges or

the period of access granted whichever is shorter shall be payable by the customer.

## **4.0 PROCEDURE FOR DAY AHEAD / SAME DAY TRANSACTIONS**

### **4.1 SUBMISSION OF APPLICATIONS**

- 4.1.1 In case of day ahead transaction, a composite request for open access and scheduling for the following day shall be sent to the nodal RLDC through the concerned SLDCs by 15:00 hrs.
- 4.1.2 In order to utilise surplus known after issuance of first dispatch schedule by RLDCs at 17:00 hrs, a composite request for open access and scheduling can be submitted directly to the nodal RLDC latest by 22:00 hrs for day ahead transaction.
- 4.1.3 Application for same day transaction to meet short term emergency requirement shall be sent to the nodal RLDC through concerned SLDCs.
- 4.1.4 The transaction shall be allowed by Nodal RLDC only for a day or part thereof in blocks as per provision contained in para 16(iii), 22(ii) and 22 (iii) of amended Regulations.
- 4.1.5 A copy of the application and scheduling request shall be endorsed by the applicant to the following:
  - i) Each RLDC involved in the transaction.
  - ii) Each SLDC involved in the transaction.
- 4.1.6 The request for above transaction along with consent of buyer / seller can be sent by Fax.

### **4.2 PROCESSING / APPROVAL OF APPLICATION**

- 4.2.1 A customer seeking short term open access shall ensure that the buyer and seller are in agreement with the proposed transactions. Any disagreement between them may lead to cancellation of proposed open access.
- 4.2.2 While processing the applications, the nodal RLDC shall seek the consent of each RLDC involved in the transaction. In case of denial of access, the RLDC concerned shall furnish reasons for the same.
- 4.2.3 The nodal RLDC shall take steps to incorporate the transactions under category at para 4.1.1 and 4.1.2 above in the day ahead schedule to be issued by the RLDC concerned if the request can be accommodated without congestion. In case of congestion the nodal RLDC shall intimate the applicant accordingly.
- 4.2.4 The transactions included in the schedule shall be considered as approved and if surrendered before commencement or during the period of transaction, all applicable charges shall be payable by the customer.
- 4.2.5 Prorata capacity approval shall be given in case applications are for more than available capacity.

### **4.3 SCHEDULING**

- 4.3.1 The open access customer shall submit a composite request for open access and scheduling to the nodal RLDC through SLDC for transaction under category at para 4.1.1 and 4.1.3 above. However, applications may be submitted directly to nodal RLDC for transaction under category 4.1.2 above.

- 4.3.2 All such transactions shall be made by the applicant after tying up all commercial terms and conditions with the concerned agencies.
- 4.3.3 Scheduling for transaction under category at para 4.1.3 above i.e. the same day transaction shall be affected from the sixth time block of receipt of request.

#### **4.4 PAYMENT TERMS**

- 4.4.1 The applicant shall make the following payment to the nodal RLDC within 3 working days of making of application when its request for open access is included in the schedules issued by the RLDC concerned.
- A) Non refundable application fee : @Rs. 5000/- per transaction to be paid even if the request is not accommodated.
- B) Transmission charges
- i) Each STU/SEB/transmission licensee involved in case the transmission system is not internalized.
  - ii) Each Regional / Inter regional system involved.
- C) Operating charges
- i) Each SLDC involved, @Rs.1000/- per day in case the transmission system is not internalized.
  - ii) Each RLDC involved, @Rs. 3000/- per day.
- 4.4.2 In case transmission system owned by STU is internalized, the transmission charges for the STU / SEB under regulation 16 and operating charges for SLDCs under clause(i) of regulation 17 need not be paid by the customer. A certificate in this regard from the concerned STU(s) / SLDCs is to be enclosed along with the payment.
- 4.4.3 All payments shall be remitted only by Bank draft/cheque drawn in favour of “\_\_RLDC Short Term Open Access Account” payable at par at the location of the Nodal RLDC or by electronic transfer. No outstation cheques will be accepted.
- 4.4.4 A non-receipt or a delayed receipt of the payment shall be considered as a “payment default” by the applicant.

#### **5.0 GENERAL**

- 5.1 Any amendment/modification to an existing application except those specifically mentioned in the procedure, shall be treated as a fresh application.
- 5.2 The “month” means a Calendar month as per the British Calendar as provided under clause (ga) of Regulation 2.
- 5.3 The “day” means a day starting at 00:00 hrs and ending at 24:00 hrs as provided under clause (ca) of the Regulations 2. For example in the application of reservation for the time period 23:00 hrs to 05:00 hrs, the reservation of capacity shall be from 23:00 hrs to 24:00 hrs in one block and 00:00 hrs to 05:00 hrs in another block and short term charges shall be payable accordingly.
- 5.4 The duration of reservation in a day / block shall be continuous based on which the short term transmission charges shall be applicable. For example in the application of reservation for the time period 08:00 hrs to 10:00 hrs and 17:00 hrs to 21:00 hrs of the day, the reservation of the capacity shall be from 08:00 hrs to 10:00 hrs in one block and 17:00 hrs to 21:00 hrs in another block and short term charges shall be payable accordingly.

- 5.5** In case of varying capacity reservation sought over the same time block / day, the highest capacity sought to be reserved shall be considered for capacity for reservation and charges shall be regulated accordingly
- 5.6** The request for transfer of reserved transmission capacity by a short term customer to any other customer shall not be entertained.
- 5.7** The short-term customers who have not been granted the reservation of transmission capacity, their applications shall stand disposed off. The applicants shall be intimated about the same by the nodal RLDC.
- 5.8** All costs/expenses/charges associated with Bank Draft/Letter of Credit shall be borne by the applicant/customer.
- 5.9** An incomplete / vague application and an application not found to be in conformity with these procedures/Regulations shall be rejected.

**5.10 CURTAILMENT OF SHORT TERM TRANSACTION**

- i. In accordance with the provisions contained in para 6(i) to 6(iii) of amended regulations 2005, reservation of transmission capacity to the short-term customer may be reduced or cancelled by the Regional Load Despatch Centre, if the Central Government allocates power from the Central Generating Station or Stations in a region to a person in another region and such allocation, in the opinion of the Regional Load Despatch Centre, cannot otherwise be implemented due to congestion in the inter-regional link. In the event of reduction or cancellation of transmission capacity reserved for a short term customer by RLDC due to above reasons, the short term customer shall be intimated by RLDC, its decision to reduce or cancel transmission capacity within a day of receipt of such allocation from competent authority.
  - ii. In accordance with Regulation 23, when because of transmission constraint or otherwise, it become necessary to curtail the transmission service of the transmission customers, the Short Term customer shall be curtailed first followed by the long term customer. Provided that within a category all users shall have same curtailment priority and shall be curtailed pro-rata to the reserved transmission capacity in case of short term customers.
  - iii. In case of transmission constraint or otherwise when ISGS power from one region to another needs to be re-routed through the third region, RLDC shall allow such re-routing to the extent of the surplus transmission capacity available without curtailing the existing long term and short term transactions.
  - iv. In case of curtailment of more than 50% of the reserved transmission capacity by the Regional Load Despatch Centre on any particular day on account of transmission constraints, the transmission charges for that day shall be payable by the short-term customers on pro-rata basis in accordance with the transmission capacity actually provided.
- 5.11** None of the charges payable by Short-Term Open Access customers and/or any other utilities involved in the transaction shall be adjusted by them against any other payments / charges.

**5.12** In case of a force majeure as determined and notified by the RLDC, RLDCs may curtail/suspend a scheduled transaction. The decision of the RLDCs as to the existence of a force majeure condition shall be final and binding.

**5.13** The applicants shall abide by the provisions of The Electricity Act, 2003, and Indian Electricity Grid Code as amended from time to time.

**5.14 PAYMENT DEFAULT**

- i. In case of payment default the customer shall be liable to pay the outstanding payment in full along with surcharge @ 0.1 % per day from the due date of payment to the actual date of payment. The surcharge so received shall be retained by the Nodal RLDC.
- ii Also, any new application of such customers shall be entertained by the RLDCs only after the receipt of outstanding payment along with surcharge.
- iii. In case a payment made by the applicant through cheque has been dishonoured, the applicant shall immediately pay the amount due by demand draft or electronic transfer and no further cheque payment will be accepted from that customer for next three (3) months.

**5.15** The average energy losses shall be applied as provided under Regulation 29 of amended Regulations.

**5.16 Disbursal of Payment**

- i. Nodal RLDC will reconcile the short-term open access charges collected during the previous month and disburse the same to the concerned Transmission Utilities and Load Despatch Centres within 10 (ten ) working days of the current month.
- ii. In case of refund due to curtailment or surrender of capacity by any open access customer becomes due during the previous month, the same shall also be disbursed to the concerned short-term open access customer with in 10 (ten ) working day period of the current month by Nodal RLDC.
- iii. Nodal RLDC does not have any responsibility towards non-payment as well as dishonouring of cheques submitted by the applicants. Nodal RLDC will only disburse the amount actually being remitted.

**5.17** The applicant/customer shall keep each of the RLDCs/SLDCs indemnified at all times and shall undertake to indemnify, defend and save the RLDCs / SLDCs harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the transactions.

-----XXXXXXXXXXXXX-----



**FORMAT – 1 :**

**APPLICATION FOR GRANT OF SHORT TERM OPEN ACCESS –  
Advance Reservation / First-Come-First-Served Basis**

*(to be sent by Short-Term Open Access Applicant)*

<b>1.</b>	<b>Application</b>		<b>No.</b>	<b>Date:</b>	
<b>2.</b>	<b>Name of the Applicant</b>				
<b>3.</b>	<b>Address for Correspondence</b>				
	<b>Phone No. :</b>	<i>(Off.)</i>	<i>(Fax.)</i>		
	<b>E-mail id:</b>				
<b>4.</b>	<b>Agreement / MOU Details :</b>				
	<b>Applicant Type - Buyer / Seller / Trader / Captive Generator</b>				
	<i>(needs to fill up only relevant agreement details)</i>				
	<b>With</b>	<b>No.</b>	<b>Date</b>	<b>Valid upto</b>	
				<b>Max. MW</b>	
				<b>Capacity already utilized earlier</b>	
				<b>Balance MW</b>	
	<b>Buyer</b>				
	<b>Seller</b>				
<b>5.</b>	<b>Coordinator Detail</b>				
	<b>Name:</b>				
	<b>Designation:</b>				
	<b>Phone Numbers:</b>		<i>(Off.)</i>	<i>(Res.)</i>	
			<i>(Fax)</i>	<i>(Mobile)</i>	
			<i>e-mail id:</i>		
<b>6.</b>	<b>Capacity Applied :</b>				
	<b>Period</b>		<b>Time Period</b>		<b>Capacity (MW)</b>
	<b>From (Date)</b>	<b>To (Date)</b>	<b>From (Hrs.)</b>	<b>To (Hrs.)</b>	
<b>7.</b>	<b>Injecting Utility Details</b>				
	<b>Name:</b>				
	<b>Point of Injection:</b>				

FORMATS for Advance Reservation / First-Come First-Served Transactions

	<b>Name of concerned SLDC:</b>		
<b>8.</b>	<b>Drawee Utility Details</b>		
	<b>Name:</b>		
	<b>Point of Drawal:</b>		
	<b>Name of concerned SLDC:</b>		
<b>9.</b>	<b>Name of Trader, if any</b>		
<b>10.</b>	<b>Intervening Trans. System Details</b>		
	<b>Intervening Region(s), if any</b>		
	<b>Intervening inter-regional links, if any</b>		
	<b>Intervening State Transmission Utility, if any</b>		
<b>11.</b>	<b>Applied Route (in case of inter-regional transfer)</b>	<i>For example Say(ER-NR)</i>	
<b>12.</b>	<b>Whether re-routing to be considered through alternate Route in case of congestion / constraint</b>	<b>YES</b>	<b>NO</b>
	<b>In Case Answer is “YES”, please specify priority</b>	<i>For example I)say (ER-WR-NR) II)say(ER-SR-WR-NR)</i>	
<b>13.</b>	<b>Non-Refundable Application Fee</b>	<b>Rs. 5000/-</b>	
	<b>Details – if payment through Electronic Clearing Scheme (ECS)</b>		
	<b>If by Bank Draft / Cheque- No. :</b>	<b>Dated:</b>	
	<b>In favour of :</b>		
	<b>Payable at:</b>		

14. I do hereby authorize \_RLDC (Nodal RLDC) to process my application through alternate path (if available) as per priority mentioned above, if there is a congestion in the direct link, without waiting for my further consent in this regard. I further, authorize \_RLDC(Nodal RLDC) that if there is a constraint during the period of actual operation, to schedule the transaction through alternate route as per priority mentioned above. The additional short-term open access charges arising due to re-routing of the transaction shall be paid by the due date as per the advice sent by Nodal RLDC.

15. It is hereby certified that

- (a) All Utilities (including buyer, seller, trader ) to the transaction shall abide by the provisions of the Central Electricity Regulatory Commission (Open Access in inter-State Transmission)(First Amendment)Regulations, 2005”.

**FORMATS for Advance Reservation / First-Come First-Served Transactions**

- (b) M/s \_\_\_\_\_ have a valid license ( No. \_\_\_\_\_ issued by \_\_\_\_\_ and valid upto \_\_\_\_\_) for inter-State trading and will abide by the CERC Regulations on inter-State Trading License dated 30.01.2004, as amended from time to time.

*(Note: This clause is applicable only in case applicant is a trader.)*

- (c) The Applicant hereby agrees to keep each of the RLDCs & SLDCs indemnified at all times and undertakes to indemnify, defend and save the RLDCs and the SLDCs harmless from any and all damages, losses, claims and actions relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the transactions under this Approval.

16. The provisions of the ' Procedures for reservation of transmission capacity to Short Term Open Access customers' issued by CTU are hereby agreed.

**Signature**

**Place :** \_\_\_\_\_ **Name:** \_\_\_\_\_  
**Dated :** \_\_\_\_\_ **Designation:** \_\_\_\_\_

**Enclosures:**

1. Copy of agreements.
2. Bank draft /Cheque. (if payment by Bank Draft/Cheque)
3. Copy of the certificate from concerned STU(s)/SLDC(s)/SEB(s), in case system owned by the STU is internalized as per clause (iii) of Regulation 31.

**Copy :**

- a) Other RLDCs involved in transaction.
- b) SLDCs involved in transaction.
- c) Executive Director (SO), POWERGRID, B-9, Qutab Institutional Area, Katwaria Sarai, New Delhi-110016

<b>Date &amp; Time of Receipt of Application</b>	<b>(to be filled in by Nodal RLDC)</b>
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**FORMAT- 2 :**  
**REQUEST FOR CONSENT FOR SHORT TERM OPEN ACCESS**  
*(to be sent by Nodal RLDC to concerned RLDC(s)/SLDC(s))*

**Ref. No. :** \_\_\_\_\_ **Dated:** \_\_\_\_\_

Consent to be sent by RLDC/SLDC : \_\_\_\_\_

Ref: Application number \_\_\_\_\_ Dated \_\_\_\_\_

1. Name of Applicant :
2. Name of Injecting Utility :
3. Name of Drawee Utility :
4. a) Intervening Region :  
b) Intervening State Utility:  
c) Inter-regional link

**5. Consent sought for :**

From (Date)	To (Date)	Time Period		Capacity (MW)
		From (Hrs.)	To (Hrs.)	

6. The SLDC to furnish consent application wise. In case of congestion in the State transmission system, the concerned SLDC should decide the reduced quantum (MW) to be allowed.
7. In case, consent is not received by .... Hrs. on ..... (Date), then approval to this transaction would not be granted by Nodal RLDC.

**Signature**

**Place** : \_\_\_\_\_ **Name** : \_\_\_\_\_  
**Dated** : \_\_\_\_\_ **Designation** : \_\_\_\_\_

**To**  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**FORMAT – 3 :**  
**CONSENT FOR SHORT TERM OPEN ACCESS**

(to be sent by concerned RLDC(s) & by SLDC(s) through their respective RLDC(s) to Nodal RLDC )

**Ref. No. :** \_\_\_\_\_

**Dated:** \_\_\_\_\_

Consent sent by RLDC/SLDC : \_\_\_\_\_

Ref: Application number \_\_\_\_\_ dated \_\_\_\_\_

1. Name of Applicant :
2. Name of Injecting Utility :
3. Name of Drawee Utility :
- 4 a. Intervening Region :
- b. Intervening State Utility:
- c. Inter-regional link

**5. Consent accorded for**

From (Date)	To (Date)	Time Period		Consent Sought (MW)	Consent accorded (MW)
		From (Hrs.)	To (Hrs.)		

6. A curtailed consent is being accorded on account of a perceived congestion in \_\_\_\_\_ network.

**Signature**

**Place** : \_\_\_\_\_ **Name** : \_\_\_\_\_  
**Dated** : \_\_\_\_\_ **Designation** : \_\_\_\_\_  
**To** \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**FORMAT – 4 :**  
**REQUEST FOR REVISING THE SHORT-TERM OPEN ACCESS**

*(to be sent by Nodal RLDC to Applicants)*

Ref. No. :

Date:

To,

M/s \_\_\_\_\_ (Applicant)

**Ref. : Application No. :**

**Dear Sir,**

With reference to your application for reservation of transmission capacity for short-term open access, there is an anticipated congestion as follow:

Trans. Sytem/ IR Link	Congestion Period		Time Period		Total Capacity Available (MW)	Total Capacity applied by all the applicant (MW)	Total Capacity available on alternate route-1 (MW)	Total Capacity available on alternate route-2 (MW)
	From Date	To Date						

Please send your revised request, if any latest by \_\_\_\_\_. In case no reply is received by the specified time, your application will be processed as per capacity sought in the original application.

**(Signature )**

Place :

Name:

Date:

Designation:

***Note: Total Capacity available as shown through alternate route is available for all the applicants to all the Nodal RLDCs.***

**FORMAT – 5 :**

**CONFIRMATION FOR REVISED CAPACITY /ALTERNATE ROUTE**

(to be sent by Applicant to Nodal RLDC)

Ref. No. :

Date:

To,

Nodal RLDC

Ref. : Original Application No. :

**Dear Sir,**

With ref. to your letter no. \_\_\_\_\_ dated \_\_\_\_\_, I do hereby confirm that  
(*strikeout or delete the clauses which are not applicable*)

- i) I would like to avail the short-term access only for the duration when no congestion is anticipated in the corridor and I do not want to avail the capacity during the congestion period.
- ii) I would like to revise the capacity from \_\_\_\_\_MW (in original application) to \_\_\_\_\_ MW during the congestion period.
- iii) I would like to avail the part/full capacity applied through alternate route(s). I do hereby authorize \_\_RLDC(Nodal RLDC) to process my application as one of the applicant, for reservation of transmission capacity through alternate route, as follows:

Sl. No.	Congestion Period				Applied Capacity (MW)	Revised Capacity on original Path (MW)*	Capacity requested to be processed for alternate route-1*	Capacity requested to be processed for alternate route-2*
	Period		Time Period					
	From date	To Date	From Hrs.	To Hrs				
								* <i>The sum of the capacity through all the routes cannot be greater than the original applied capacity.</i>

In case of reservation of transmission capacity through alternate route is made, the excess short-term charges as per CERC Regulation will be paid by me.

(Signature )

Place :

Name:

Date:

Designation:

**FORMAT – 6 :**  
**APPROVAL FOR SHORT TERM OPEN ACCESS**  
*( To be issued by Nodal RLDC )*

**Approval No.** \_\_\_\_\_ **Dated:** \_\_\_\_\_

**Ref: Original Application No.** \_\_\_\_\_ **Dated:** \_\_\_\_\_

**Revised Letter No. :** \_\_\_\_\_ **Dated:** \_\_\_\_\_

1. Name of customer :
2. Name of injecting Utility :
3. Name of Drawee Utility :
4. a) Intervening Region :  
 b) Intervening inter-regional link, if any  
 c) Intervening State Transmission Utility:

**5. Open Access Capacity Applied:**

From (Date)	To (Date)	Time Period		Capacity (MW)
		From (Hrs.)	To (Hrs.)	

**6. Open Access capacity approved**

From (Date)	To (Date)	Time Period		Capacity (MW)
		From (Hrs.)	To (Hrs.)	

7. A curtailed approval is being granted on account of \_\_\_\_\_

8. In accordance with the bids invited for \_\_\_\_\_ transmission system, the transmission charges in respect of the above mentioned system shall be



**FORMATS for Advance Reservation / First-Come First-Served Transactions**

- \_\_\_\_\_Rs./MW/day ( \_\_\_\_\_% of the floor rate of \_\_\_\_\_Rs./MW/day)  
for the period \_\_\_\_\_to\_\_\_\_\_.
9. In accordance with your consent for re-routing of the transaction though alternate route, during the congestion period from \_\_\_\_ (date) to \_\_\_\_ (date) (Time Period from \_\_\_\_Hrs. to \_\_\_\_Hrs.) has been approved on route \_\_\_\_\_ for the period from \_\_\_\_ (date) to \_\_\_\_ (date) (Time Period from \_\_\_\_Hrs. to \_\_\_\_Hrs.).
  10. The above approval is in accordance the provisions of the “Procedure for reservation of transmission capacity to short term Open Access Customers” issued by RLDCs/CTU.
  11. This approval is subject to full payment made by applicant on or before the due date as specified in the “Schedule of Payment” enclosed.
  12. LC may be opened by \_\_\_\_\_for Rs.\_\_\_\_\_ within seven days of commencement of transactions.
  13. This approval is subject to
    - a. The trader/licensee holding a valid license/permission granted by CERC.
    - b. Central Electricity Regulatory Commission (Open Access in inter-State Transmission)(First Amendment) Regulations, 2005.
    - c. The responsibility of ensuring compliances with the provisions of Electricity Act, 2003, the CERC Regulations on Open Access in inter-State transmission, Amendment 2005 and inter-State Trading License, dated 30.01.2004 shall lie with the Applicant/Customer.
  14. Standard conditions/format for LC may be downloaded from our website.

**Signature**

<b>PLACE</b>	:		:	<b>NAME</b>
<b>DATE</b>	:		:	<b>DESIGNATION</b>

**To**

\_(applicant)\_\_\_\_\_

\_\_\_\_\_

**Copy to:**

- **Concerned RLDC(s) / Concerned SLDC(s)**
- **ED (SO), POWERGRID**

***Enclosure : SCHEDULE OF PAYMENTS***

**SCHEDULE OF PAYMENTS**

- a. Approval No. \_\_\_\_\_ dated : \_\_\_\_\_
- b. Ref. : Application No. \_\_\_\_\_ dated :
- c. Due date : (within 3 working days from the date of grant of access)

**MW-Days:** (to be calculated by multiplying Capacity approved (MW) with No. of days multiplied with applicable day rate (full/half/one-fourth) depending upon the no. of hours in continuous time block.)

From (Date)	To (Date)	Time Period		Capacity (MW)	MW-Days
		From (Hrs.)	To (Hrs.)		
<b>Total MW-Days:</b>					

**Short-Term Open Access Charges :**

S. No	Particulars	ST_Rate	Total MW-Days	Amount (Rs.)
1.0	Transmission charges.	Rs./MW/Day.		
1.1	_____ (STU/SEB/Licensee)			
.	_____ (STU/SEB/Licensee)			
....	_____ (Region)			
....	_____ (Region)			
....	_____ (Inter-regional link)			

FORMATS for Advance Reservation / First-Come First-Served Transactions

	Transmission charges for the system as per clause 8 of approval (bidding)			
	Transmission Charges due to re-routing of transaction*			
	Sub-Total (1)	--		
2.0	Operating Charges.	Rs./Day		
2.1	_____SLDC	1,000/-		
....	_____SLDC	1,000/-		
....	_____RLDC	3,000/-		
....	_____RLDC	3,000/-		
	Sub-total (2)	-	--	
3.0	<u>Total payment</u> <u>(1+2)</u>		--	

Due date for subsequent payment :

- i. Amount Rs.----- Due date :
- ii. Amount Rs.----- Due date :
- iii. Amount Rs.----- Due date :

**Signature:**\_\_\_\_\_

Place:\_\_\_\_\_

Name:\_\_\_\_\_

Date:\_\_\_\_\_

Designation:\_\_\_\_\_

\* The details of transmission charges on account of rerouting is enclosed.

**FORMAT- 7 :**

**DETAILS OF PAYMENT**

( to be submitted by the customer along with the payment )

- a. Ref. Approval No. \_\_\_\_\_ dated : \_\_\_\_\_
- b. Ref. : Application No. \_\_\_\_\_ dated : \_\_\_\_\_
- c. Payment for the period : From : (date) To : (date)

S. No	Particulars	Amount (Rs.)
1.0	Transmission charges.	
1.1	_____ (STU/SEB/Licensee)	
....	_____ (STU/SEB/Licensee)	
....	_____ (Region)	
....	_____ (Region)	
....	_____ (Inter-regional link)	
	Transmission charges for the link as per clause 8 of approval	
	Transmission charges on account of re-routing	
	Sub-Total (1)	
	Operating Charges.	
2.1	_____ SLDC	
....	_____ SLDC	
....	_____ RLDC	
....	_____ RLDC	
	Sub-total (2)	
3.0	<b><u>Total payment (1+2)</u></b>	

1. Details of Bank Draft:

- a. Name of Bank with address.
- b. Draft No. & Date
- c. For Amount Rs. \_\_\_\_\_ in favour of “**RLDC Short-Term Open Access**” payable at \_\_\_\_\_.
- d. In case payment through ECS, details.

**Signature:** \_\_\_\_\_

Place: \_\_\_\_\_

Name: \_\_\_\_\_

Date: \_\_\_\_\_

Designation: \_\_\_\_\_

**FORMAT - 8 :**

**APPLICATION FOR GRANT OF SHORT TERM OPEN ACCESS  
(DAY AHEAD / SAME DAY TRANSACTION)**

*(to be sent by Short-Term Open Access Applicant through concerned SLDC)*

<b>1.</b>	<b>Application</b>	<b>No.</b>	<b>Date:</b>	
<b>2.</b>	<b>Name of the Applicant</b>			
<b>3.</b>	<b>Address for Correspondence</b>			
	<b>Phone Number (O) :</b>			
	<b>e-mail id:</b>			
<b>4.</b>	<b>Agreement / MOU / Consent Letter Details :</b>			
	<b>Applicant Type - Buyer / Seller / Trader / Captive Generator</b> <i>(needs to fill up only relevant agreement details)</i>			
	<b>MOU/ Agreement /Consent Letter</b>			
	<b>With</b>	<b>Reference No.</b>	<b>Date</b>	
	<b>Buyer</b>			
	<b>Seller</b>			
<b>5.</b>	<b>Coordinator Detail</b>			
	<b>Name:</b>			
	<b>Designation:</b>			
	<b>Phone Numbers:</b>	<b>(Off.)</b>	<b>(Res.)</b>	
		<b>(Fax)</b>	<b>(Mobile)</b>	
		<b>e-mail id:</b>		
<b>6.</b>	<b>Capacity Applied :</b>			
	<b>Date of Transaction</b>	<b>From(Hrs)</b>	<b>To (Hrs)</b>	<b>MW</b>
<b>7.</b>	<b>Injecting Utility Details</b>			
	<b>Name:</b>			
	<b>Point of Injection:</b>			
	<b>Name of concerned SLDC:</b>			

Formats for Day-Ahead / Same Day Transactions

	Whether internalized (Yes/No)	
8.	<b>Drawee Utility Details</b>	
	<b>Name:</b>	
	<b>Point of Drawal:</b>	
	<b>Name of concerned SLDC:</b>	
	<b>Whether internalized (Yes/No)</b>	
9.	<b>Name of Trader, if any</b>	
10.	<b>Intervening Trans. System Details</b>	
	<b>Intervening Region(s), if any</b>	
	<b>Intervening inter-regional links, if any</b>	
	<b>Intervening State Transmission Utility, if any</b>	
11.	<b>Whether payment for application fee, transmission charges and operating charges enclosed ? If yes, please furnish details</b>	

12. It is hereby certified that

(a) All Utilities (including buyer, seller, trader ) to the transaction shall abide by the provisions of the Central Electricity Regulatory Commission (Open Access in inter-State Transmission)(First Amendment)Regulations 2005” and CERC Regulations on inter-State Trading License dated 30.01.2004, as amended from time to time.

(b) M/s \_\_\_\_\_ have a valid license (No. \_\_\_\_\_ issued by \_\_\_\_\_ and valid upto \_\_\_\_\_) for inter state trading and will abide by the CERC Regulations on inter state trading license dt. 30.01.2004, as amended from time to time.

*(Note: This clause is applicable only in case applicant is a trader.)*

(c) The Applicant hereby agrees to keep each of the RLDCs & SLDCs indemnified at all times and undertakes to indemnify, defend and save the RLDCs and the SLDCs harmless from any and all damages, losses, claims and actions relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the transactions under this Approval.

(d) The buyer and the seller have entered into commercial agreement for the proposed transaction. Payment of the short term charges (if not paid)

**Formats for Day-Ahead / Same Day Transactions**

shall be made by me within 3 working days of date of application, without any delay.

13. The provisions of the 'Procedures for Day ahead/ same day reservation of transmission capacity to Short Term Open Access customers' issued by CTU are hereby agreed.

**Signature**

**PLACE :**

**NAME:**

**DATED :**

**DESIGNATION:**

**THROUGH \_\_\_\_\_ SLDC**

**Signature**

**PLACE :**

**NAME:**

**DATED :**

**DESIGNATION:**

**Enclosures:**

1. Copy of MOU / consent letter / agreements.

**Copy :**

- a) Other RLDCs involved in transaction.
- b) SLDCs involved in transaction.

<b>Date &amp; Time of Receipt of Application</b>	<b>(to be filled in by Nodal RLDC)</b>
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**FORMAT-9 :**

**APPROVAL FOR SHORT TERM OPEN ACCESS**

*( To be issued by Nodal RLDC)*

**Approval No.** \_\_\_\_\_ **Dated:** \_\_\_\_\_

**Ref: Application number** \_\_\_\_\_ **Dated:** \_\_\_\_\_

1. Name of customer :
2. Name of injecting Utility :
3. Name of Drawee Utility :
4. a) Intervening Region :  
b) Intervening inter-regional link, if any  
c) Intervening State Transmission Utility:

**5. Capacity Applied :**

Date of Transaction	From(Hrs)	To (Hrs)	MW

**6. Capacity Approved:**

Date of Transaction	From(Hrs)	To (Hrs)	MW

7. The above approval is in accordance to the provisions of the “Procedure for day ahead / same day reservation of transmission capacity to short term Open Access Customers” issued by RLDCs/CTU.



**Formats for Day-Ahead / Same Day Transactions**

8. This approval is subject to
- a. The trader/licensee holding a valid license/permission granted by CERC.
  - b. CERC's regulations on Open Access in inter-State transmission, Amendment 2005.
  - c. The responsibility of ensuring compliances with the provisions of Electricity Act, 2003, and the CERC Regulations on Open Access in inter-State transmission, Amendment 2005 and inter-State Trading License, dated 30.01.2004 shall lie with the Applicant/Customer.
  - d. Payment of short term charges within 3 working days from the date of application.

**Enclosure : Schedule of Payment**

<b>PLACE</b>	<b>:</b>	<b>Signature</b>	
<b>DATED</b>	<b>:</b>	<b>NAME</b>	<b>:</b>
<b>To</b>		<b>DESIGNATION</b>	<b>:</b>

- Copy to:**
- **Concerned RLDCs**
  - **Concerned SLDCs**
  - **ED (SO), POWERGRID**

**SCHEDULE OF PAYMENTS**

*(To be issued along with Approval)*

a. Approval No. \_\_\_\_\_ dated : \_\_\_\_\_

b. Ref. : Application No. \_\_\_\_\_ dated : \_\_\_\_\_

c. Payment due : *(within 3 working days from the date of making application)*

MW-Days: *(to be calculated by multiplying Capacity approved (MW) with applicable day rate (full/half/one-fourth) depending upon the no. of hours in continuous time block.)*

Date of transaction	Time Period		Capacity (MW)	MW-Days
	From (Hrs.)	To (Hrs.)		
Total MW-Days:				

**Short-Term Open Access Charges:**

S. No	Items	ST_Rate (Rs./MW/Day)	MW-Day	Amount (Rs.)
1.0	Transmission charges.			
1.1	_____ (STU/SEB/Licensee)			
....	_____ (STU/SEB/Licensee)			
....	_____ (Region)			
....	_____ (Region)			
....	_____ (Inter-regional link)			
Sub-Total (1)				
2.0	Operating Charges.	Rs./Day		
2.1	_____ SLDC	1,000/-		
....	_____ SLDC	1,000/-		
....	_____ RLDC	3,000/-		
....	_____ RLDC	3,000/-		
Sub-total (2)				
3.0	Non-Refundable Application Fee	Rs. 5000/-		
4.0	<b><u>Total payment (1+2+3)</u></b>			

**Signature:** \_\_\_\_\_

**Place:** \_\_\_\_\_

**Name:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Designation:** \_\_\_\_\_

**FORMAT – 10 :**  
**DETAILS OF PAYMENTS**

*(To be submitted by the customer along with Payment)*

- a. Ref. Approval No. \_\_\_\_\_ dated : \_\_\_\_\_  
b. Ref. : Application No. \_\_\_\_\_ dated : \_\_\_\_\_  
c. Payment due : *(within 3 working days from the date of making of application)*  
d. Payment made on (Date) \_\_\_\_\_

S. No	Items	Applicable ST_OA charges
1.0	Transmission charges.	Rs.
1.1	_____ (STU/SEB/Licensee)	
....	_____ (STU/SEB/Licensee)	
....	_____ (Region)	
....	_____ (Region)	
....	_____ (Inter-regional link)	
	Sub-Total (1)	
2.0	Operating Charges.	Rs./Day
2.1	_____ SLDC	1,000/-
....	_____ SLDC	1,000/-
....	_____ RLDC	3,000/-
....	_____ RLDC	3,000/-
	Sub-total (2)	
3.0	Non-Refundable Application fee	Rs. 5000/-
4.0	<b>Total payment (1+2+3)</b>	

1. Details of Bank Draft / Cheque:

- a) Name of Bank with address.  
b) Draft / Cheque No. & Date  
c) For Amount Rs. \_\_\_\_\_ in favour of “**RLDC Short-Term Open Access**” payable at \_\_\_\_\_.

Signature: \_\_\_\_\_

Place: \_\_\_\_\_

Name: \_\_\_\_\_

Date: \_\_\_\_\_

Designation: \_\_\_\_\_

Terms for Letter of Credit  
( **Short Term Open Access** )

1. The letter of Credit is irrevocable, revolving and shall revolve automatically immediately after release of monthly payment to nodal RLDC as per the payment schedule through this LC up to a limit of Rs. \_\_\_\_\_
2. The letter of Credit will be operated after the expiry of the due date of monthly payment as indicated in the "Schedule of payments" enclosed with the approval issued to the Short Term Open Access Customer by the nodal RLDC.
3. The Letter of Credit shall remain valid upto \_\_\_\_\_ i.e ( Upto one month after the expiry of transaction)
4. The Total value of the Letter of Credit would be Rs. \_\_\_\_\_.
- 5 All charges relating to opening, advising, confirmation, amendment, recoupment, operation ,usance, negotiation, remittance etc. or any other charges would be borne by Applicant.
6. The amount would be paid immediately by the Bank once Letter of Credit is operated by the authorised officer of nodal RLDC.

**List of Documents.**

- I The Copy of the application for grant of Short Term Open Access
- II The copy of the approval issued by Nodal RLDC for Short Term Open Access indicating
  - A Reserved capacity for the Short Term Customer.
  - B Period Of Transaction.
  - C Schedule of monthly Payments .
- III. Specimen Signature of the Officer of Nodal RLDC authorised to operate the Letter Of Credit.